

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yongwei CAO, et al.

Serial No.: 09/474,435

Filed: December 28, 1999

For: Arabidopsis Thaliana Genome
Sequence and Uses Thereof



Group Art Unit: To Be Assigned

Examiner: To Be Assigned.

Docket No.: 04983.0209.US00/38-21(15473)B

**Response to Notice to File Missing Parts of
Nonprovisional Application**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

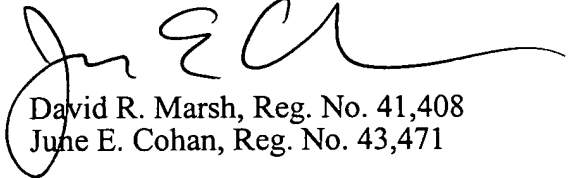
In response to the Notice to File Missing Parts of Nonprovisional Application dated January 15, 2001, Applicants submit a Combined Declaration/Power of Attorney executed by S. Sai Subramaniam (4 pages); and a Combined Declaration/Power of Attorney executed by Yongwei Cao, David K. Kovalic, Jingdong Liu, James D. McNinch, Steven D. Rounsley and Roger C. Wiegand (4 pages). Also attached are two checks: Check No. 122429 in the amount of \$2,294.00 for payment of basic filing fee (\$760), 39 total claims over 20 (\$702), and 9 independent claims over 3 (\$702). Check No. 126666 in the amount of \$430.00 for Petition of Extension of Time (two months) (\$390) and assignment recordation fee (\$40.00) is submitted herewith. Applicants respectfully submit that the application is complete upon receipt of these materials.

Should any questions regarding this application arise, the Examiner is encouraged to contact Applicant's undersigned representative at 202.942.5000.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency,
or credit any overpayment, to our Deposit Account No. 50-1824 under our reference no.

16517.044.

Respectfully submitted,



David R. Marsh, Reg. No. 41,408
June E. Cohan, Reg. No. 43,471

Date: April 30, 2001

ARNOLD & PORTER
555 12th Street, N.W.
Washington, D.C. 20004
202.942.5000 telephone
202.942.5999 facsimile



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/474,435 | 12/28/1999 | David K. Kovalic | 04983.0209.US00/38-21(154 |

HOWREY & SIMON
Box No. 34
1299 Pennsylvania Avenue, N.W.
Washington, DC 20004-2402

FORMALITIES LETTER



OC000000005677991

Date Mailed: 01/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1404.
 - \$702 for 39 total claims over 20.
 - \$702 for 9 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2294.

A copy of this notice MUST be returned with the reply.

W. Newton

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/01/2001 AGOITOH 00000108 09474435
01 FC:101 710.00 OP
02 FC:105 1301.00 OP
03 FC:103 702.00 OP
04 FC:102 720.00 OP
05 FC:199 321.00 OP

ARNOLD & PORTER



202.942.5000
202.942.5999 Fax

555 Twelfth Street, NW
Washington, DC 20004-1206

April 30, 2001

Assistant Commissioner for Patents
Washington, D.C. 20231

Re: Appl. No.: 09/474,435
Filed: December 28, 1999
Title: Arabidopsis Thaliana Genome Sequence and
Uses Thereof
Inventors: Yongwei CAO, et al.
Atty. Docket: 04983.0209.US00/38-21(15473)B

Sir:

The following documents are forwarded herewith for appropriate action by the
U.S. Patent and Trademark Office:

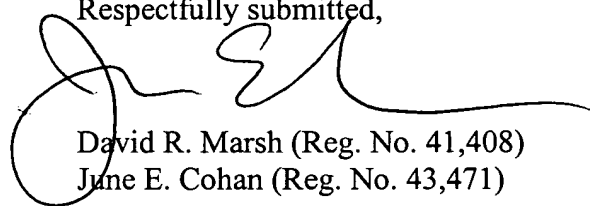
1. Response to Notice to File Missing Parts of Nonprovisional Application;
2. Copy of Notice to File Missing Parts of Nonprovisional Application;
3. Combined Declaration/Power of Attorney executed by S. Sai
Subramaniam (4 pages);
4. Combined Declaration/Power of Attorney executed by Yongwei Cao,
David K. Kovalic, Jingdong Liu, James D. McIninch, Steven D. Rounsley
and Roger C. Wiegand (4 pages);
5. Assignment cover sheet (PTO Form 1595);
6. Assignment (4 pages);
7. Preliminary Amendment;
8. Petition for Extension of Time (2 months);
9. Check No 126666 for a 2 month extension of time and assignment
recordation fee; and
10. Check No. 122429 for basic filing fee, (\$760), 39 total claims over 20
(\$702) and 9 independent claims over 3 (\$702).

ARNOLD & PORTER

Assistant Commissioner for Patents
Yongwei CAO, et al.
Appln. No. 09/474,435
Page 2

It is respectfully requested that the attached postcard be stamped with the filing date of these documents and returned to our courier.

Respectfully submitted,



David R. Marsh (Reg. No. 41,408)
June E. Cohan (Reg. No. 43,471)

Enclosures